IFW

PTO/SB/21 (09-04) (AW 10/2004)
Approved for use through 7/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

OIPE TRADE MAN

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/814,477	
Filing Date	March 31, 2004	
First Named Inventor	Shaomin Samuel Mo, et al.	
Art Unit	3662	
Examiner Name	To Be Assigned	
Attorney Docket No.	MATI-240US	

ENCLOSURES (Check all that apply)					
Fee Transmittal Form Fee Attached	Drawing(s)  Licensing-related Papers	. —	After Allowance Communication to TC		
Amendment/Reply After Final Affidavits/Declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application Response to Missing Parts	Petition  Petition to Convert to a Provisional Application  Power of Attorney, Revocation, Change of Correspondence Address  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)  Landscape Table on CD  Remarks:		Appeal Communication to Board of Appeals and Interferences  Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Other Enclosure(s) (please identify below): PTO/SB/08a; 5 references		
under 37 CFR 1.52 or 1.53 SIGNATUR	E OF APPLICANT, ATTORNEY OR AG	ENT			
Firm Name RatherPrestia  Signature  Printed Name Kenneth N. Nigon					
Date September 26, 2005 Registration No. 31,549					
CERTIFICATE OF TRANSMISSION / MAILING					
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:					
Signature juli a. Laurence					
Typed or Printed Name Mi A. Lawrence		Date	September 26, 2005		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

MATI-240US **PATENT** 



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

10/814,477

Applicant: Filed:

S.S. Mo, et al. March 31, 2004

Title:

METHOD AND APPARATUS FOR GENERATING AND PROCESSING

WIDEBAND SIGNALS HAVING REDUCED DISCRETE POWER SPECTRAL

**DENSITY COMPONENTS** 

TC/A.U.:

3662

Examiner:

To Be Assigned

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop: AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO/SB/08a submitted herewith. A copy of the reference(s) listed on the PTO/SB/08a, other than U.S. patents, is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application or before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

Kenneth N. Nigon, Reg. No. 31,549

Attorney(s) for Applicant(s)

KNN/jal

Enclosures: PTO/SB/08a

(5) References Transmittal Form

Dated: September 26, 2005

P.O. Box 980 Valley Forge, PA 19482 (610) 407-0700

P.O. Box 1596
Wilmington, DE 19899
(302) 778-2500

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

**SEPTEMBER 26, 2005** 

Juli X Lawrence

JAL\_I:\MATI\240US\09.26.05 IDS.DOC



## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 1

Complete if Known		
Application Number	10/814,477	
Filing Date	March 31, 2004	
First Named Inventor	Shaomin Samuel Mo, et al.	
Art Unit	3662	
Examiner Name	To Be Assigned	
Attorney Docket No.	MATI-240US	

U.S. PATENT DOCUMENTS					
		Document Number			Pages, Columns, Lines, Where
Examiner Initials*	Cite No. <sup>1</sup>	Number - Kind Code <sup>2 (if known)</sup>	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	1	US-6,026,124 A	02/15/2000	Lee, et al.	Entire Document

FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document  Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5 (If known)</sup>	Publication Date	Name of Patentee or Applicant of Cited	Pages, Columns, Lines, Where Relevant Passages or Relevant	
Initials*	No.1	Country Code - Number - Kind Code	(MM-DD-YYYY)	Document	Figures Appear	16

NON-PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>	
	1	S.S. MO, Phrase reversion to reduce power spectral density of multi-band UWB signals, Consumer Communications and Networking Conference, 2004, Las Vegas, NV, USA Jan. 5-8, 2004, Piscataway, NJ, USA, IEEE, Jan. 5, 2004, pp. 307-11.		
* * *	. 2	J. BALAKRISHNAN, ET AL., A multi-band OFDM system for UWB communication, 2003 IEEE Conference on Ultra Wideband Systems and Technologies, Reston, VA, Nov. 16-19, 2003, Piscataway, NJ, pp. 354-58.		
	3	S.S. MO, ET AL., Frame synchronization in UWB using multiple SYNC words to eliminate line frequencies, IEEE Wireless Communications and Networking, 2003, New Orleans, LA, Mar. 16-20, 2003, pp. 773-78.		
	4	E. SABERINIA, ET AL., All-digital receiver structures for MC-UWB systems, IEEE 58 <sup>th</sup> Vehicular Technology Conference, 2003, Orlando, FL, pp. 289-93, Vol. 1.		
	5	Int'l Search Report, Int'l App. No. PCT/US2005/010491		

Examiner		Date	-
Signature		Considered	
	······································		

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

<sup>&</sup>lt;sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>&</sup>lt;sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

Applicant is to place a check mark here if English language translation is attached.

The collection of Information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.